



CAU 2152  
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PATENT  
(5181-57500/P4764)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/672,200  
Filed: September 27, 2000  
Inventor(s):  
Slaughter et al

§ Examiner: Unknown  
§ Group/Art Unit: 2152  
§ Atty. Dkt. No: 5181-57500  
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Title: REMOTE FUNCTION  
INVOCATION WITH  
MESSAGING IN A  
DISTRIBUTED  
COMPUTING  
ENVIRONMENT

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Robert C. Kowert

Name of Registered Representative

*[Signature]* Aug 9, 2001  
Signature Date

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant requests consideration of ☒ the references listed on the attached Form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A copy of each reference listed on the Form PTO-1449 is enclosed.

1. This Information Disclosure Statement is submitted:

- a. ☐ within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d);  
☐ within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application;  
☒ before the mailing date of a first Office Action on the merits; or  
☐ before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- b. ☐ after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: ☐ the certification of paragraph 2 below is provided, or ☐ a fee of \$180.00 is enclosed.

- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2. It is hereby certified:
- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.
3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
4. For each non-English language reference listed on the attached Form PTO-1449:
- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) \_\_\_\_\_, and/or
- ☐ reference is made to the concise explanation set forth below:
5. ☐ Applicant also offers the following comments for the Examiner's consideration:
6. ☐ Also enclosed is a copy of a foreign search report citing these references.
7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.

8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Conley, Rose & Tayon, P.C. Deposit Account No. 50-1505/5181-57500.

Respectfully submitted,



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Date: Aug. 9, 2001

**Form PTO-1449** (modified)

List of Patents and Publications

For Applicant's Information

Disclosure Statement

(Use several sheets if necessary)

ATTY. DKT. NO. 5181-57500

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RECEIVED  
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Technology Center 21**OTHER ARTS** (including Author, Title, Date, Pertinent Pages, Etc.)

/Lc/	B1	"Java™ Remote Method Invocation Specification," Sun Microsystems, Inc., <java.sun.com/products/dk1.2beta1>, 1997.
/Lc/	B2	Agha, et al., "Actorspaces: An Open Distributed Programming Paradigm," University of Illinois, Report No. UIUCDCS-R-92-1766, Open Systems Laboratory TR NO. 8, pp. 1-12, November 1992.
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/Lc/	B4	Aldrich, et al., "Providing Easier Access to Remote Objects in Client-Server Systems," System Sciences, 1998, Proceedings of the 31 <sup>st</sup> Hawaii Internat'l. Conference, Jan. 6-9, 1998, pp. 366-375.
/Lc/	B5	Aldrich, et al., "Providing Easier Access to Remote Objects in Distributed Systems," Calif. Institute of Technology, www.cs.Caltech.edu/%7Ejedi/paper.html, Nov. 21, 1997.
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/Lc/	B14	Birrell, et al., "Grapevine: An Exercise in Distributed Computing," Communications to the ACM, Vol. 25, No. 4, pp. 260-274, April 1982.
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/Lc/	B18	Cannon, et al., "Adding Fault-Tolerant Transaction Processing to LINDA," Software-Practice and Experience, Vol. 24(5), pp. 449-466, May 1994.
/Lc/	B19	Cardelli, "Obliq, A Lightweight Language For Network Objects," Digital SRC, pp. 1-37, November 5, 1993.
/Lc/	B20	Carriero, et al., "Distributed Data Structures in Linda," Principles of Programming Language, pp. 1-16, 1986.

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

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/Lc/	B26	Dijkstra, "Self-stabilizing Systems in Spite of Distributed Control," Communications of the ACM, Vol 17, No. 11, pp. 643-644, November 1974.
/Lc/	B27	Dolev, et al., "On the Minimal Synchronism Needed for Distributed Consensus," Journal of the ACM, Vol. 34, NO. 1, pp. 77-97, January 1987.
/Lc/	B28	Dollimore, et al., "The design of a System for Distributing Shared Objects," The Computer Journal, No. 6, Cambridge, GB, December 1991.
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/Lc/	B33	Emms, "A Definition Of AN Access Control Systems Language," Computer Standards And Interfaces, Vol. 6, No. 4, pp. 443-454, January 1, 1997.
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/Lc/	B39	Guyennet, et al., "A New Consistency Protocol Implemented in the CaliF System," IEEE, 1094-7256/97, pp. 82-87, 1997.

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/Lc/	B40	Guyennet, et al., "Distributed Shared Memory Layer for Cooperative Work Applications," IEEE, 0742-1303/97, pp. 72-78, 1997.	
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/Lc/	B43	Harris, et al., "Proposal for a General Java Proxy Class for Distributed Systems and Other Uses," Netscape Communications Corp., June 25, 1997.	
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/Lc/	B71	IBM, "Chapter 6 - Distributed SOM (DSOM)," SOMobjects Developer Toolkit Users Guide, Version 2.1, pages 6-1-6-90, October 1994.
/Lc/	B72	Anonymous, "Change Notification Service for Shared Files," IBM Technical Disclosure Bulletin, Vol. 36, No. 8, pages 77-82, XP002109435 New York, US, November 1973.
/Lc/	B73	IBM <sup>TM</sup> Technical Disclosure Bulletin, "Retrieval of Qualified Variables Using Extendible Hashing," Vol. 36, No. 12, pp. 301-303, December 1993.
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/Lc/	B75	IBM <sup>TM</sup> Technical Disclosure Bulletin, "Local Network Monitoring to Populate Access Agent Directory," Vol. 36, No. 09A, pp. 403*405, September 1993.
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/Lc/	B77	Jones, et al., "Garbage Collection: Algorithms for Automatic Dynamic Memory Management," pages 165-175, John Wiley & Sons, 1996.
/Lc/	B78	Kambhatla, et al., "Recovery with Limited Replay: Fault-Tolerant Processes in Linda," Oregon Graduate Institute, Technical Report CSIE 90-019, pp. 1-16, September 1990.
/Lc/	B79	Kay, et al., "An Overview of the Raleigh Object-Oriented Database System," ICL Technical Journal, Vol. 7, No. 4, pp. 780-798, Oxford, GB, November 1991.

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